

ERISA Services, Inc.
Helping You Become Retirement Ready

Retirement Plan Design and Administrative Expertise



to positively impact the
Retirement Readiness
of those we serve

Our Vision

401(k) & Profit Sharing Plans | Cash Balance and DB plans | Consulting
Reporting | Retirement Plan Administration | Compliance | Fiduciary | Actuary

Our Steadfast Promise to You

We Strive For:

Prompt Response
Personal Touch
Professional Service



Every client has different priorities, goals, and objectives for their retirement plan. The team at ERISA Services will work with you to understand your company, employees, and employee benefits plan's objectives in order to custom design your plan to achieve these goals.

We believe your company's retirement plan can achieve your goals of offering a competitive employee benefit, while fostering loyalty from your employees, and preparing participants for retirement readiness.

Our consultants and relationship managers establish relationships with you to provide personalized service to your plan and assist employees to achieve their goals. Therefore, we work with you to make sure you understand and are comfortable with your plan's service model and cost structure.

“ The team at ERISA Services will work with you to understand your objectives in order to custom design your plan to achieve these goals.”

What Makes ERISA Services Special?

ERISA Services was founded in 1981. With nearly four decades in business and more than 500 combined years of personnel tenure in the retirement and pension industry, ERISA Services represents clients spanning various industries including: investment and financial groups, manufacturing companies, medical practices, professional organizations, traditional family-owned businesses, and sole proprietorships.

ERISA Services is a TPA organization that does not provide investment advice nor sell investments. Administering more than 1,000 plans, and using state-of-the-art technology to provide personal, thoughtful, and accurate service to our clients.

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Flexible, Cost-Effective Services for Your Business

The professionals at ERISA Services, Inc. offer unparalleled service with the hometown feeling we so proudly associate with our company.

Consultation and administration services include:

- Plan design, consulting, and recommendations
- Plan document and Form 5500 preparation
- Maintenance of participants' service and relative employment records
- Communication to plan administrators of participants' account balances
- Allocation of plan contributions, earnings, and forfeitures
- Trust account reconciliation and annual reporting
- Determination of employer contributions
- Distribution and loan processing, including Required Minimum
- Distribution monitoring
- Annual compliance testing
- Provide support for IRS and DOL Audits



TYPES OF PLANS WE ADMINISTER

- 401(k)
- Profit Sharing
- Money Purchase
- Defined Benefit Plans
- Cash Balance Plans
- 403(b) Plans
- 457 Plans
- Multiple Employer Plans (MEPs)
- Solo(k)/One-Person Plans

The right plan design can increase tax savings, reduce costs, boost participation, and enhance retirement readiness.

Allow us to introduce our
Management Team

**Your retirement plan
solution makes a
difference in the lives
of your employees.**

CHIEF EXECUTIVE OFFICER



Dale Horst

Dale is the founder and owner of ERISA Services. Dale graduated from the University of Tennessee in Knoxville with a degree in education. After teaching for two years, he entered the insurance and investment business and from there founded ERISA Services. Dale and his wife Teresa live in Loudon County. Dale and Teresa both were members of the Pride of the Southland Marching Band where Dale was the drum major for three seasons. They have two grown children: Tommy and Tiffany, who both work with Dale at ERISA Services.

PRESIDENT



Tommy Horst

Tommy grew up in the family business, ERISA Services, and has been working with his father full-time since 2002. Tommy graduated from the University of Tennessee in Knoxville with a major in accounting. While at UT, he was the drum major for the Pride of the Southland Marching Band for two seasons. While in the band, he met his wife, Jessica, who was the head majorette for two years. Jessica also works at ERISA Services as the CFO. Tommy and Jessica have a daughter, Presley, and son, Lincoln, who will without a doubt go to the University of Tennessee, march in the Pride of the Southland Marching Band, and work at ERISA Services at some point in time.

Our Mission:

To provide prompt, personal and professional service to our clients in the area of pension design and administration, using the teachings of Christ as a guideline to how we operate.

Consulting



Dale Horst
Founder, CEO
dhorst@erisaservices.com
(865) 966-1225



Tommy Horst
Co-Owner, President
thorst@erisaservices.com
(865) 256-8905



Justin Bell
Partner, VP New Business
jbell@erisaservices.com
(865) 748-9363



Tiffany Horst
Shareholder
Director of Business Development
tiffanyhorst@erisaservices.com
(865) 255-4757



Nick Westmoreland
Partner, VP Business
Development of GA
nwestmoreland@erisaservices.com
(404) 663-9891



Bob Miller
Partner, VP Business
Development of Midwest
bmiller@erisaservices.com
(515) 306-9468

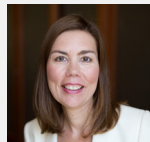
New Business



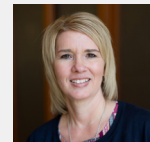
Jo McCullar
Sales & New Business
Coordinator
jmccullar@erisaservices.com
(865) 777-4376



Kathy Lemieux
Document Specialist
klemieux@erisaservices.com
(865) 777-4374



Judy Thuenemann, QPA, QKA
Installation and Conversion Manager
jthuenemann@erisaservices.com
(865) 218-0602



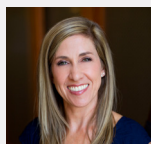
Kristie Farmer
Director of Client Services
kfarmer@erisaservices.com
(865) 218-0603



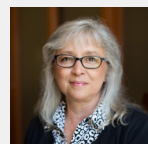
Donajean Kubacki
Quality Assurance Specialist
dkubacki@erisaservices.com
(865) 671-0023

Quality Assurance

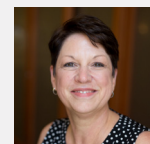
Operations



Jessica Horst
Shareholder/CFO
jhorst@erisaservices.com
(865) 966-1255



Kathe Willings
Director of Human Resources
kwillings@erisaservices.com
(865) 671-0021



Deanna Tipton
Accounts Receivable Specialist
dteipton@erisaservices.com
(865) 777-4375



Gail Canupp
Receptionist & Admin. Support
gcanupp@erisaservices.com
(865) 966-1225

Relationship Management



Diane Cate
Team Leader
dcate@erisaservices.com
(865) 777-4369



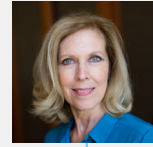
Wendy Niemiec
wniemiec@erisaservices.com
(865) 218-0606



Stephanie Cooper
scooper@erisaservices.com
(865) 777-4380



Kaylin Dragan, QKA
kdragan@erisaservices.com
(865) 218-0600



Tracey Sickmen
tsickmen@erisaservices.com
(865) 777-4377



Pam Lindsey
plindsey@erisaservices.com
(865) 777-4371

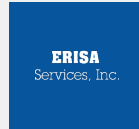
Relationship Management



Debbie Morgan
dmorgan@erisaservices.com
(865) 218-0610



Steve Mason
smason@erisaservices.com
(865) 777-4373



Ashley Routon
arouton@erisaservices.com
(865) 777-3240

Client Services



Michelle Phillippi
mphilippi@erisaservices.com
(865) 218-3610

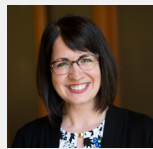


Chris Sullivan
csullivan@erisaservices.com
(865) 777-4379

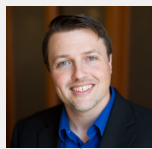
Administrators



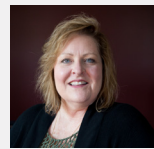
Lois Ann Smithers
Team Leader
lsmithers@erisaservices.com
(865) 777-4363



Stacy Bax
sbax@erisaservices.com
(865) 218-0604



Josh Broyles
jbroyles@erisaservices.com
(865) 777-4372



Cheryl DiBenedetto
cdibenedetto@erisaservices.com
(865) 777-4381



Karen Hurst
khurst@erisaservices.com
(865) 966-1225

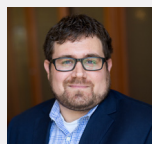


Ryan Schwenzer
rschwenzer@erisaservices.com
(865) 777-4378

3 (16) Fiduciary Administrators



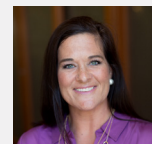
Donna Cooke, QKA, QPA, CPC
Managing Administrator
dcooke@erisaservices.com
(865) 218-0607



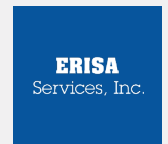
Aaron Pratt
3(16) Specialist
apratt@erisaservices.com
(865) 671-0023



Patricia Harrill
pharrill@erisaservices.com
(865) 777-4490



Kimberly Emert
kemert@erisaservices.com
(865) 218-0608



Kelsey Sager
ksager@erisaservices.com
(865) 777-4368

Facilitators



Managing Fiduciary Responsibility Together

Plan sponsors have many obligations, including payroll submissions, maintaining trustee duties, and communicating with plan participants.

When you partner with our affiliate company, Fiduciary Administrators, LLC we manage the administrative and fiduciary tasks on your behalf to give you the peace of mind you deserve.

Fiduciary Administrators has identified the most common and time-consuming tasks, and will act as a plan fiduciary and oversee the processing, approval, and completion of key administrative tasks, including:

- Participant loans and distributions, including terminations and retirements
- Eligibility tracking
- Delivering required notices to plan participants
- Preparing and signing the Form 5500

Focus on
your business
and let us
manage
the rest.

ERISA Services, Inc.

CONTACT

(865) 966-1225
(865) 671-2467 fax
frontdesk@erisaservices.com

PHYSICAL SHIPPING ADDRESS

12748 Kingston Pike, Suite 203
Farragut, TN 37934

MAILING ADDRESS

P.O. Box 24628
Knoxville, TN 37933



ERISAServices.com



@ErisaServices



ERISA Services Inc.

ERISA Actuarial
Services
Enhancing Your Savings

With ERISA Services continued involvement with Defined Benefit and growing popularity of Cash Balance plans, the need for ERISA Actuarial Services became evident. We will select the Actuary who best fits the needs of the client and control the experience and communication for our clients with their Defined Benefit Plan. We consult on the complex plan design involved, make recommendations, and coordinate the required joint plan testing between the 401(k) Plan and DB plan. Cash Balance and Defined Benefit plans permit larger annual tax deductible contributions and benefits that are not available in Defined Contribution plans. Our team helps easily design these plans to benefit the Employer in tax savings and wealth accumulation, while offering an incredible benefit to their employees at the same time.